

# Chaos and Emittance growth due to nonlinear interactions in circular accelerators

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# Emittance growth

- External incoherent diffusion, radiation, Intrabeam etc.
- Coherent motion, instability
- **Nonlinear diffusion**
- Nonlinearity coupled to external diffusion (noise)

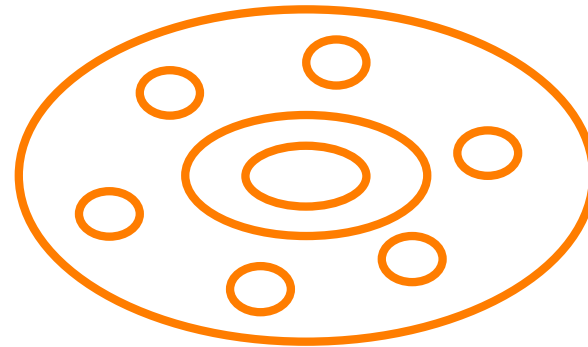
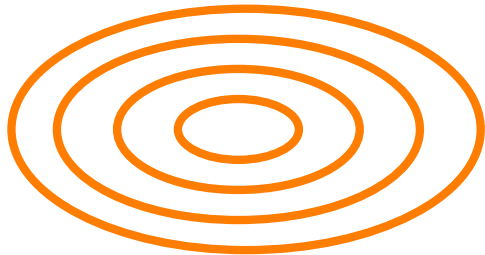
1. Incoherent electron cloud
2. Beam-beam limit
3. Space charge limit

# Diffusive or not

- Which system does not have emittance growth?
  1. Integrable system
  2. System with two degrees of freedom
  
- Which system can have emittance growth?
  1. Nonintegrable system with three or more degrees of freedom
  2. External diffusion: noise, radiation excitation... The external diffusion is amplified due to nonlinear interaction

# Nondiffusive system

- Integrable system – needless to say
- System with two degrees of freedom. Particles do not cross torus layers.



System fall into [global stochastic regime](#) may be diffusive even for two degrees of freedom, but the diffusion is limited in the regime.

# Diffusion in three or more degrees of freedom

- Motion in a degree of freedom gives modulation
- Particles can get over KAM boundary through additional freedom.

# Round beam:

Example of non-diffusive system

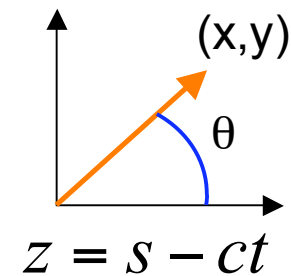
- Equal tune, no synchrotron oscillation -- equivalent to a system with 2 degrees of freedom (r-s).

$$H_{xy} = \frac{p_x^2 + p_y^2}{2\beta} - \frac{1}{\beta} \left( \frac{p_z^2}{2} \right) + \dots$$

$$= \frac{\mu\beta u}{2\beta} \left( p_r^2 + r^2 \frac{p_\theta^2}{r^2} - \frac{r^2}{\beta} \delta \right) + \dots$$

$$p_r = r' = p_x \cos \theta + p_y \sin \theta$$

$$p_\theta = r^2 \theta' = r \left( -p_x \sin \theta + p_y \cos \theta \right)$$



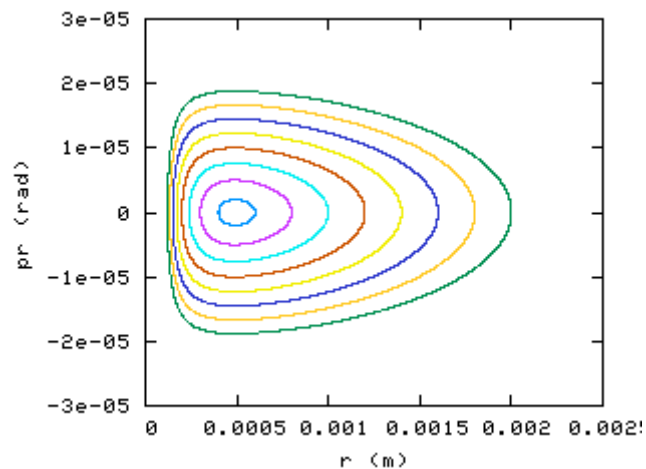
- H does not include  $\theta$ , therefore  $p_\theta$  is a constant of motion.
- Trajectories on a torus r-p<sub>r</sub>-s
- Poincare cross-section is mapped on two dimensional space.

- Motion in r-pr space.

$$J_{pr} = \int_{r_{\min}}^{r_{\max}} \sqrt{2\mu(E - V(r))} dr = \int_{\theta_1}^{\theta_2} \frac{1}{r^2} \sqrt{2\mu(E - V(r))} dr$$

$$\psi_r = \cos^{-1} \frac{r \dot{\theta} - \beta(2)}{\beta \sqrt{(2) \mathcal{Y}_r + \mathbb{H}^2}}$$

$$\psi_\theta =$$



# Model- round beam interacting with electron cloud

- Transverse beam size depends on  $z$ ;  $\sigma_x(z)$ ,  $\sigma_y(z)$ .
- Assume transverse Gaussian charge distribution. Applicable not only beam-beam but also electron cloud and space charge issues.
- Strong localized force  $x, y \sim \sigma_x, \sigma_y$

$$U(x, y, z) = - \frac{Nr_e}{\gamma} \int_0^\infty \frac{\exp\left(-\frac{x^2 + y^2}{2(\sigma_x^2 + u)}\right)}{\sqrt{2(\sigma_x^2 + u)}} du$$

$$\mathbf{x}(0) = \exp\left(\frac{\partial}{\partial \mathbf{x}} U(\mathbf{x}, 0)\right) \mathbf{x}(0)$$

$$\mathbf{x} = (x, p_x, y, p_y, z, \delta)^t$$



# The force for a round charge distribution

- The force depends on  $z$ , because of  $\sigma_r(z)$  of strong beam or electron cloud.

$$U(r; \sigma_r(z)) = -\frac{r_e}{\gamma} \int_0^\infty \frac{\exp\left(-\frac{r^2}{2\sigma_r^2 + u}\right)}{2\sigma_r^2 + u} du$$

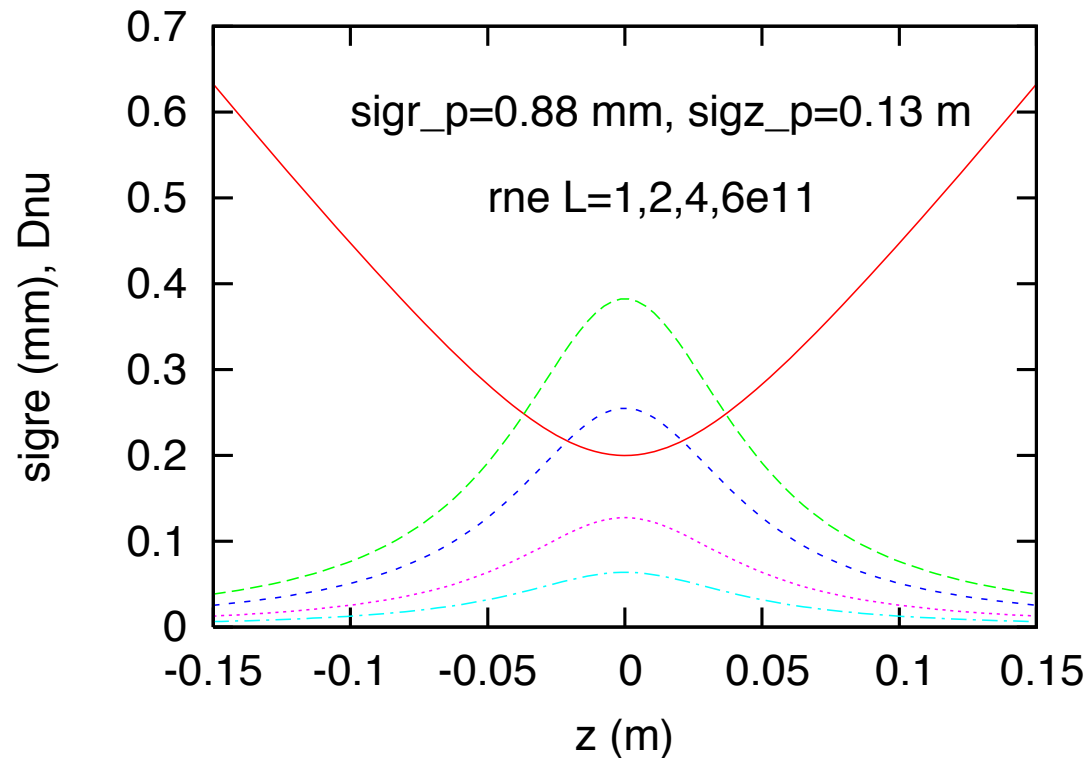
$$F_r = -\frac{\partial U(r; \sigma_r(z))}{\partial r} = -\frac{2r_e}{\gamma} \frac{1}{r} \left[ 1 - \exp\left(-\frac{r^2}{2\sigma_r^2}\right) \right]$$

$$F_z = -\frac{\partial U(r; \sigma_r(z))}{\partial z} = -\frac{\partial U(r; \sigma_r(z))}{\partial \sigma_r^2} \frac{d\sigma_r^2}{dz} = -\frac{2r_e}{\gamma} \frac{1}{2\sigma_r^2} \exp\left(-\frac{r^2}{2\sigma_r^2}\right) \frac{d\sigma_r^2}{dz}$$

- Example, LHC
- $L=26700$  m,  $v_x=0.28$ ,  $v_y=0.31$ ,  $v_s=0.006$
- $\varepsilon_x=\varepsilon_y=8 \times 10^{-9}$  m,  $\beta_x=\beta_y=100$  m
- $\sigma_x=\sigma_y=0.89$  mm,  $\sigma_z=0.13$  m,

# Model of cloud

- $\sigma_r$  (cloud) and tune shift
- $N_e$   $L=1, 2, 4, 6 \times 10^{11}$ . Interact at a point in the ring.

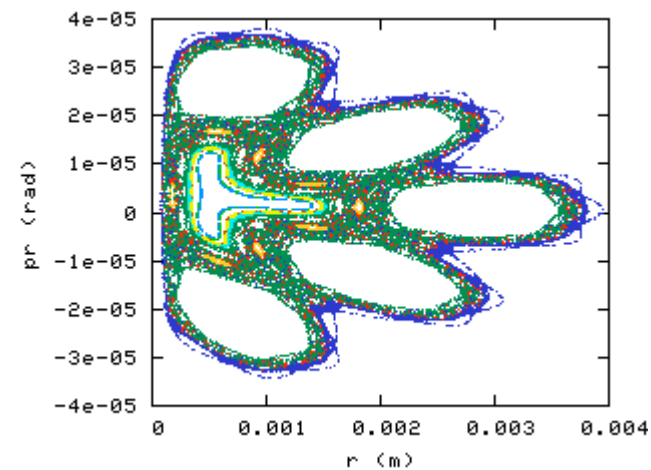
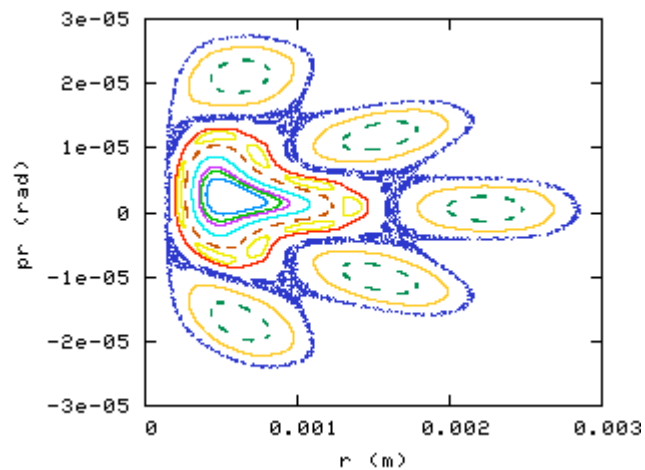
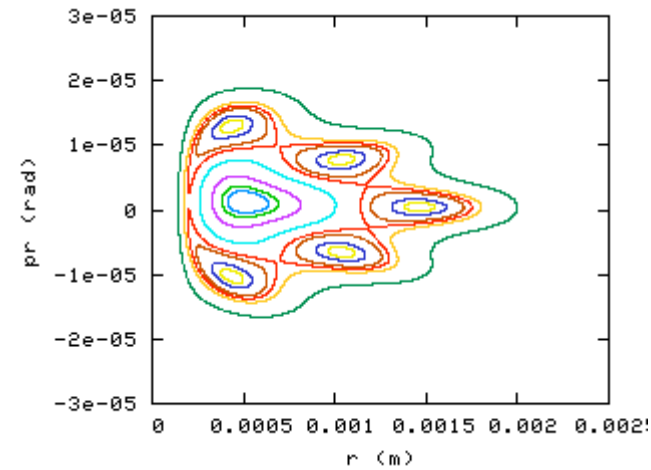
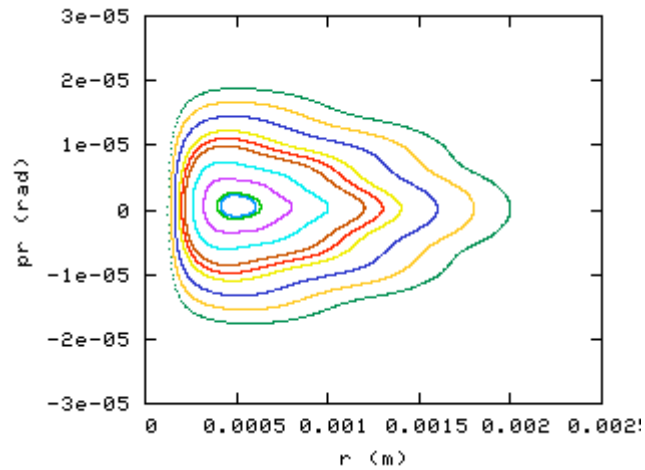


# Two degree of freedom

- 4 variable ( $x, p_x, s, H'$ ). 1 integral for  $H'$ , Poincare cross section (certain  $s$ )  $\rightarrow$  2 variable.
- Poincare plot,  $x$ - $p_x$  plot at a certain  $s$ .
- When one more integral,  $J(x, p_x) = \text{constant}$ , the system is solvable. This relation gives a curve in  $x$ - $p_x$  phase space.

# Poincare plot

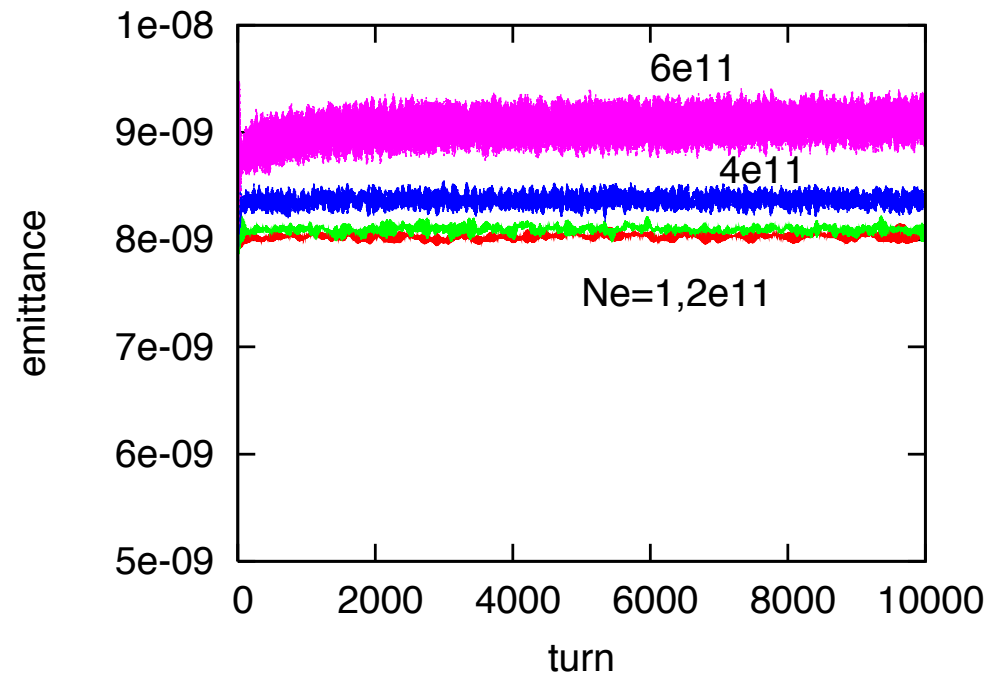
- r-pr  $\Delta v = 0.06, 0.13, 0.27, 0.38$



9 mm

# Diffusion rate

- Diffusion rates are very small compare than those for 3 degrees of freedom, see later.
- $T_0=89 \mu\text{s}$ .



# Resonance overlap

- Fourier expansion for  $\psi_r$  of  $U$ .

$$U = \sum_{k=0}^{\infty} U_k \cos k\psi$$

- Resonance position,  $J_{r,R}$ .

$$\mu(J_{rr}) = + \frac{\partial U_0}{\partial J_r} \quad \mu(J_{rR}) = \frac{n}{k}$$

- Motion near the resonance position, pendulum motion, separatrix.

$$I_m = \frac{J_{rR}}{k} \varphi\psi$$

$$H_k = \frac{1}{2} \left. \frac{\partial^2 U_0}{\partial J_r^2} \right|_{J_{rR}} \delta\varphi \cos$$

# Overlap condition, Chirikov criterion

- Resonance width

$$\Delta J_{rk} \approx 4 \sqrt{\left( \frac{\partial^2 U_0}{\partial J_r^2} \right)^{-1}}$$

- Resonance separation

$$J_{k+1} - J_k \approx \frac{2\pi}{kJ} \left( \frac{\partial^2 U_0}{\partial J_r^2} \right)^{-1}$$

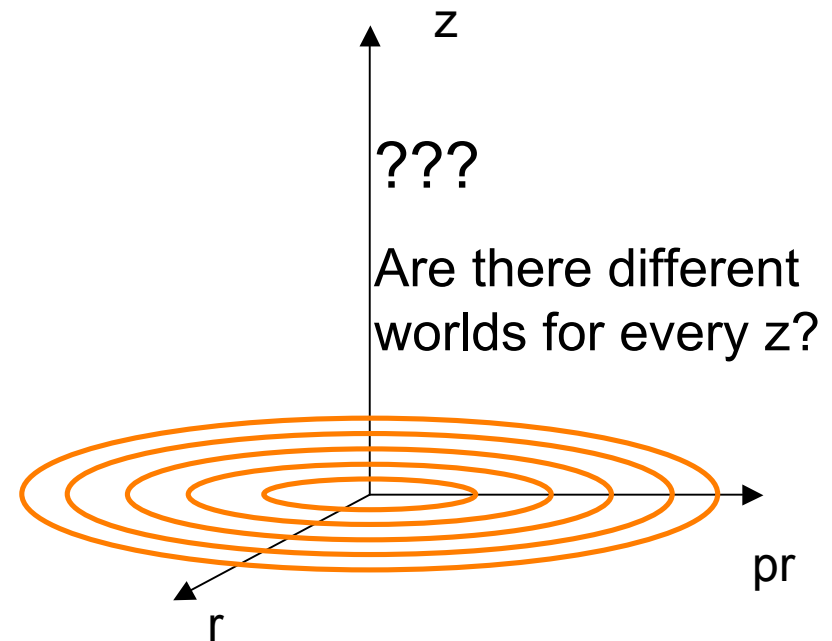
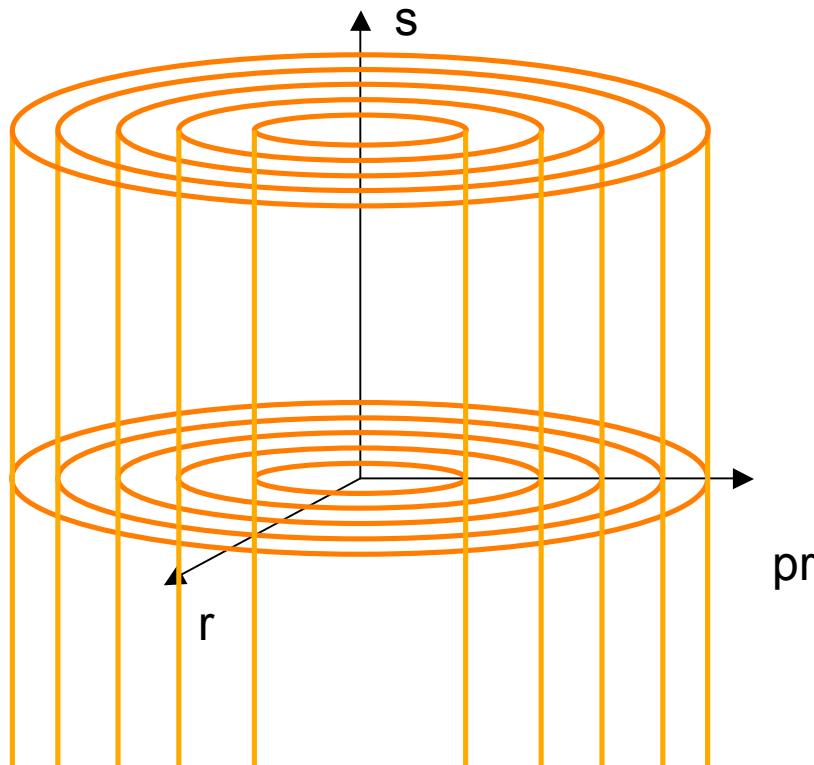
- Overlap condition, width > separation

$$kU \sqrt{\frac{\partial^2 U_0}{\partial J_r^2}} > \frac{\pi}{2}$$

# Synchrotron oscillation and symplectic diffusion

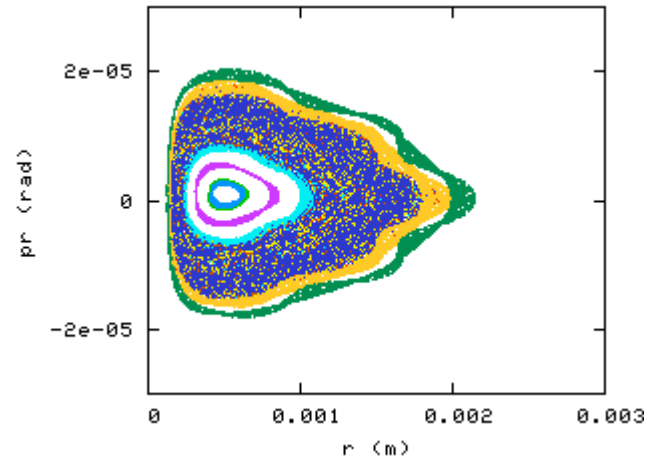
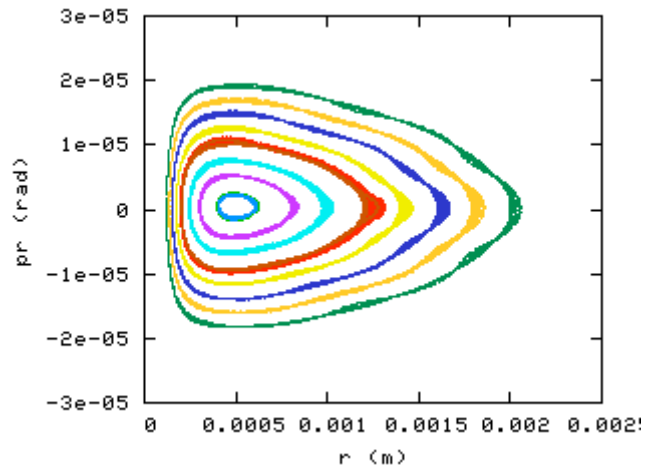
$$H = \frac{1}{2} \left( \frac{p_r^2}{\beta} + \frac{p_\theta^2}{r^2} + \frac{1}{2} \left( \frac{p_z}{\alpha} \right)^2 \right) + \dots$$

- Add synchrotron motion, 3 degrees of freedom
- The structures of tori are different for each  $z$ .
- Are particles return the same torus after one synchrotron period.

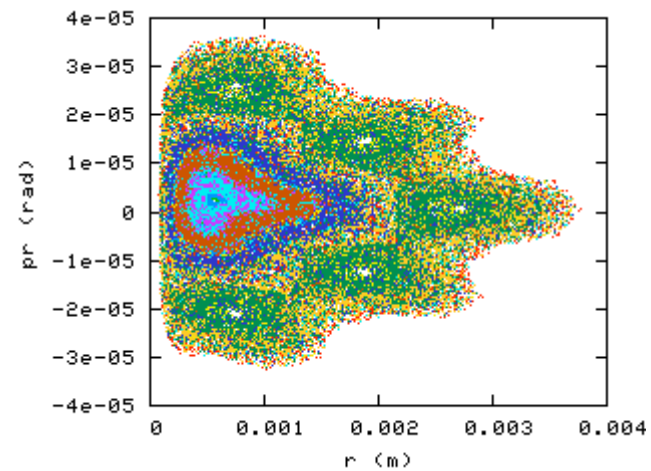
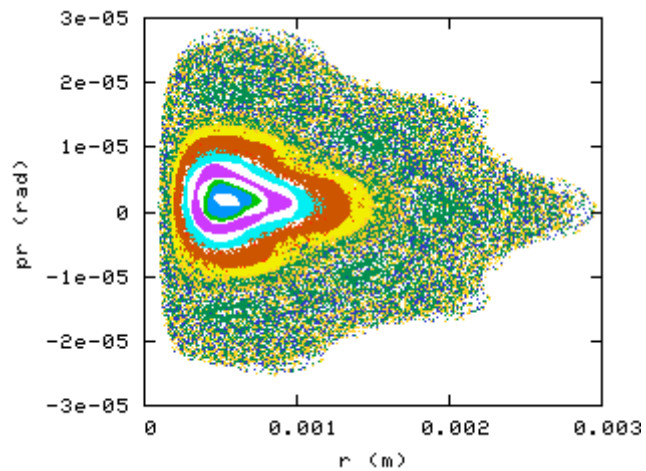




# Poincare plot for several z

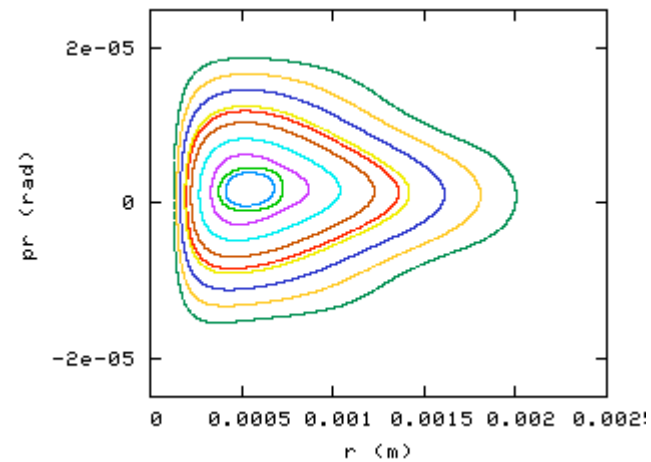
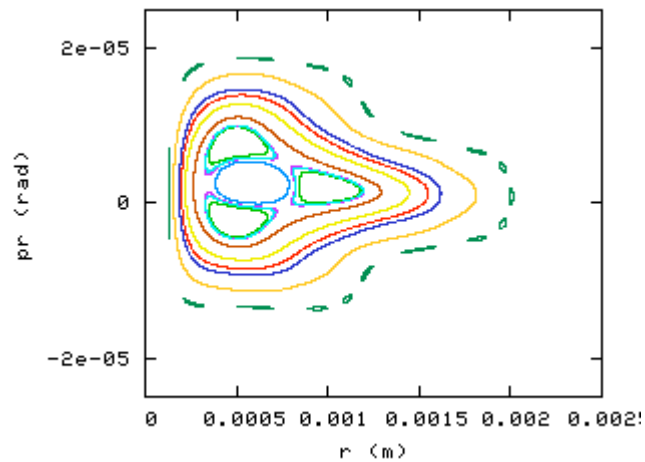
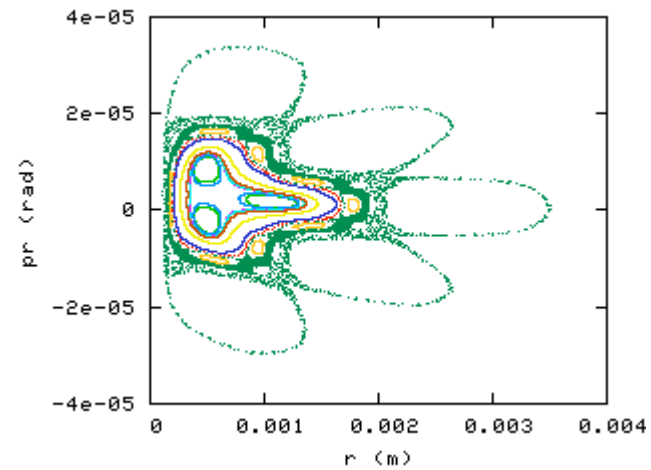
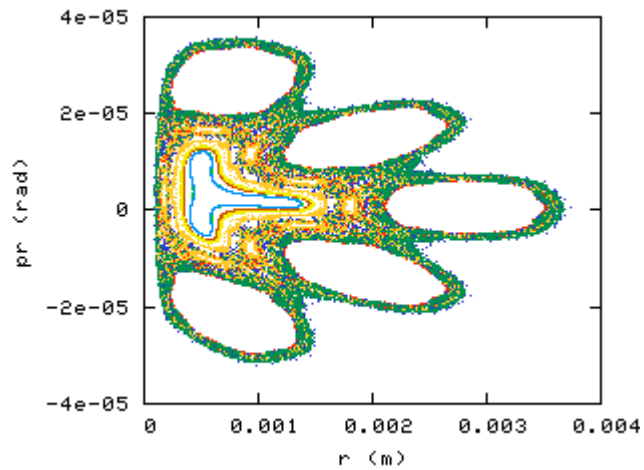


Note  $\sigma_r = 0.89$  mm

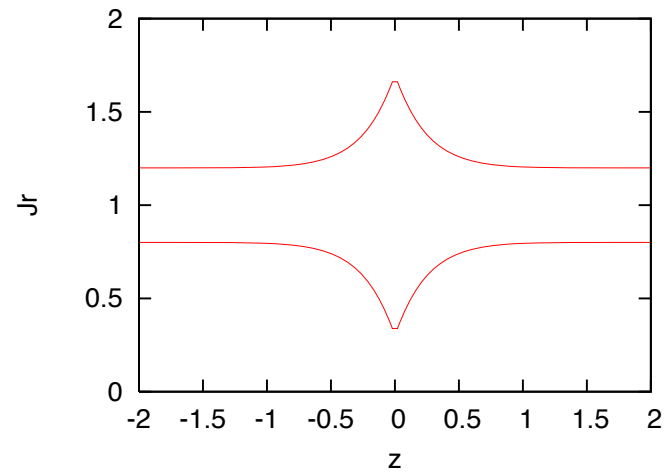
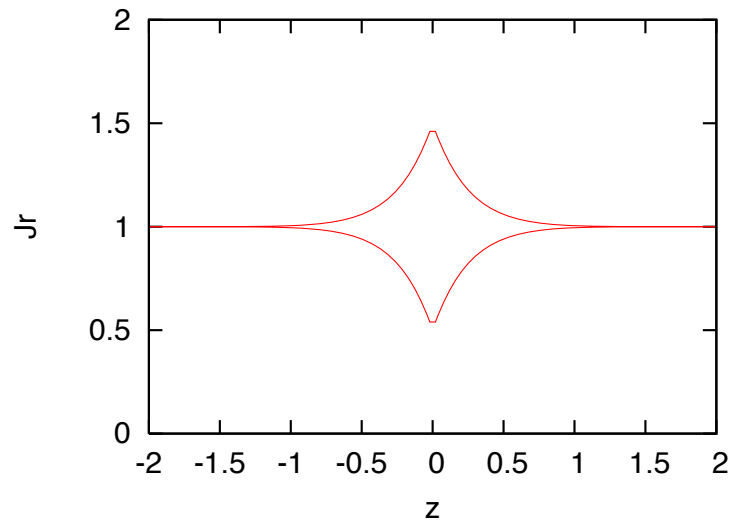


# Poincare plot for several z

- Z=2, 4, 6, 10 cm

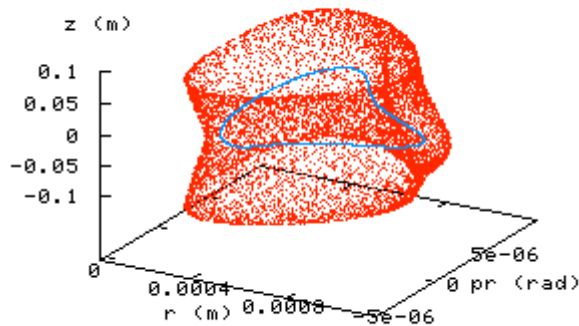


# Adiabatic invariant?

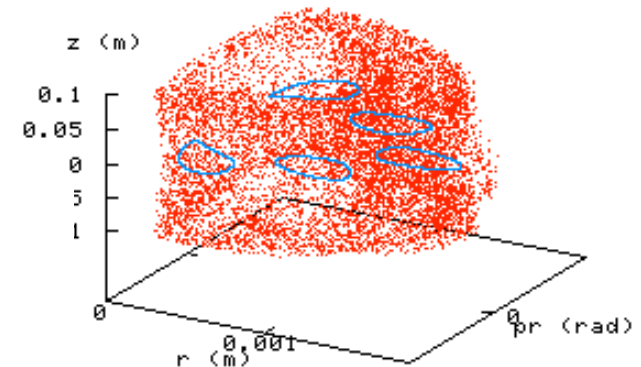
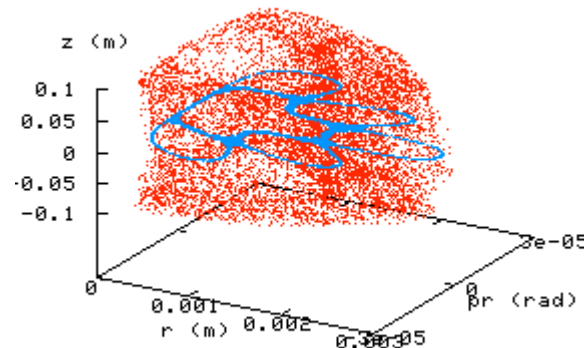


# Solvable or not

- 6 variables ( $x, p_x, y, p_y, s, H'$ )
- One integral  $H'$ , Poincare cross section at  $s$ .
- 4 variables
- When 2 integrals exist, the system is solvable. The solution is represented by a surface in 3 dimensional space,  $r$ - $p_r$ - $z$ .
- When a surface is not seen, the system is nonsolvable: i.e., emittance growth occurs.



Solvable



Nonsolvable

Blue: no synchrotron motion at  $z=0$

# Separatrix crossing

- U depends on z. Fourier expansion for synchrotron phase.

$$U(J, \psi) = U_0 + \sum_{k=-\infty}^{\infty} \sum_{l=0}^{\infty} U_{kl} \cos(k\psi) \cos(l\psi) \quad ( )$$

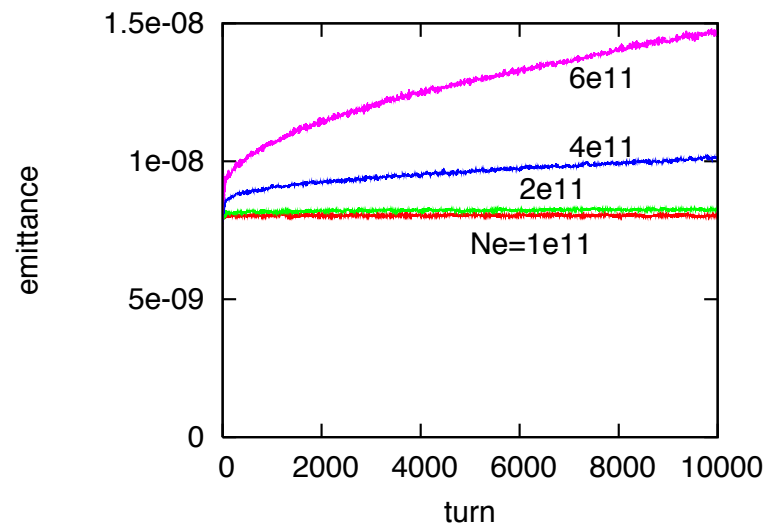
- Resonance separation (narrower than n-n+1)

$$\left| \frac{\partial U_{kl}}{\partial J} \right| > \frac{\mu_z}{kJ} \left( \frac{\partial^2 U_0}{\partial J^2} \right)^{-1}$$

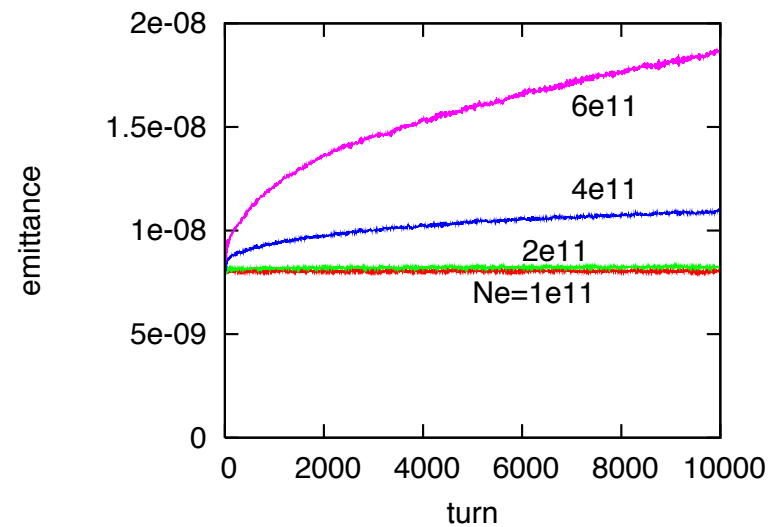
$$\frac{4k}{\mu_{zr}} \sqrt{U_{kl} \frac{\partial^2 U_0}{\partial J^2}} > 1$$

# Diffusion due to synchrotron motion

- $\nu_z = 0.006$



$$= 0.012$$

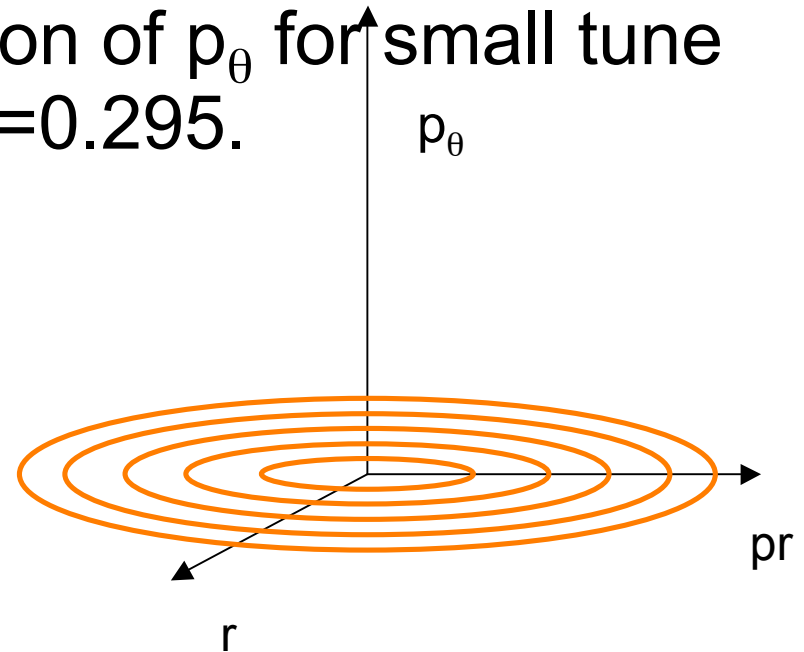
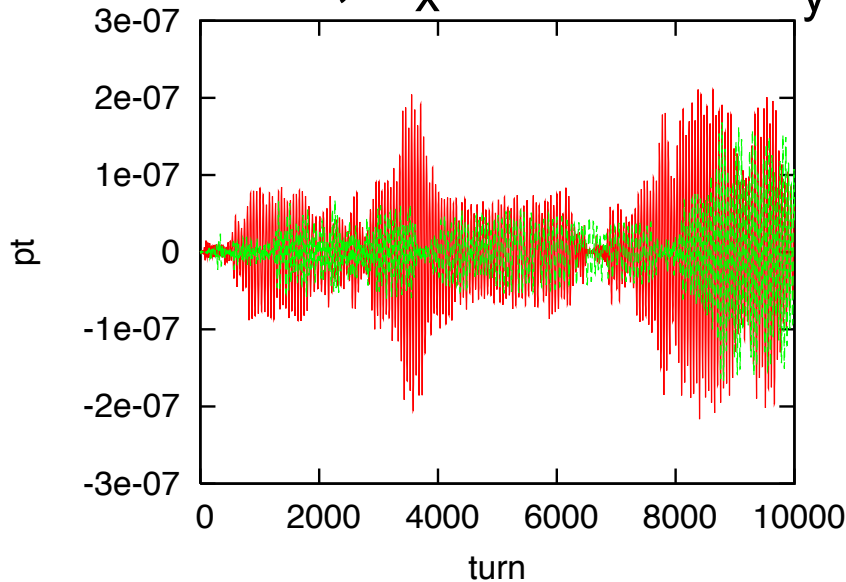


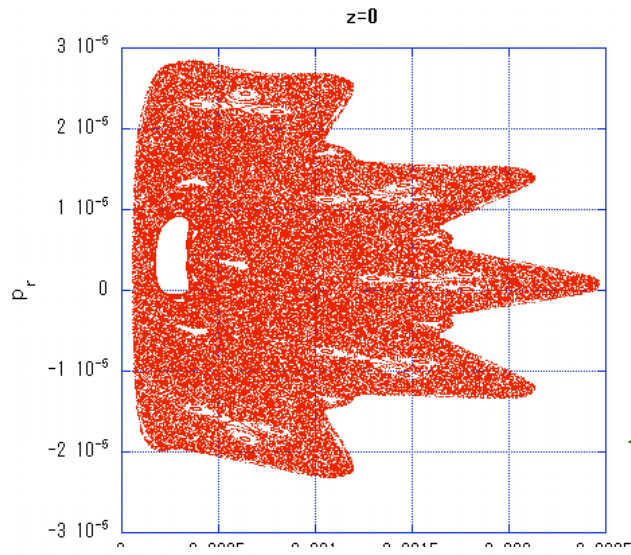
# Tune difference

- 3 degrees of freedom

$$H = \frac{1}{2} \left( \frac{p_x^2}{\beta_x} + \frac{p_y^2}{\beta_y} + \frac{p_z^2}{\beta_z} + \frac{r^2}{\beta_r} \right) - \cos 2\theta$$

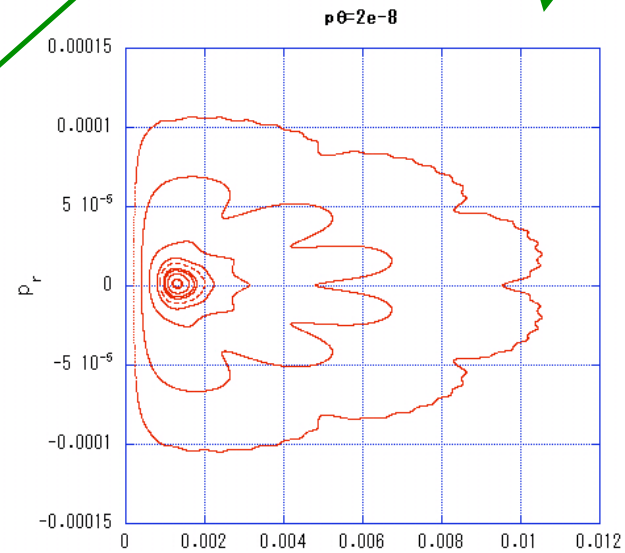
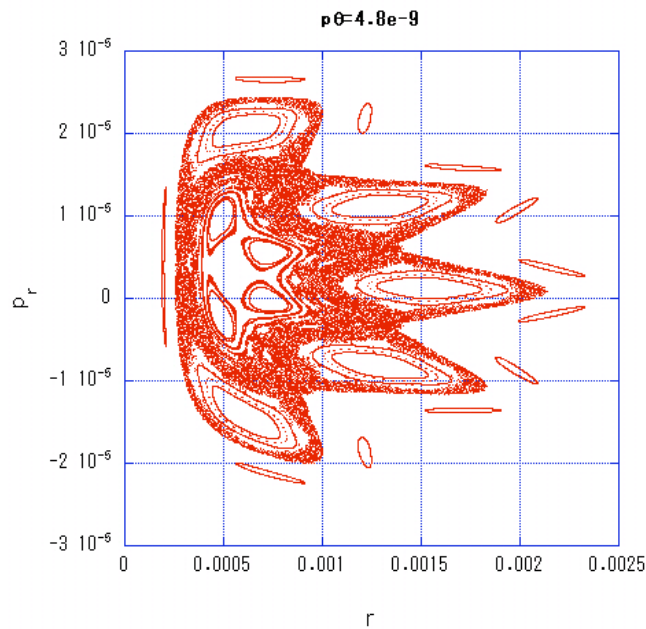
- $p_\theta$  is not constant. Variation of  $p_\theta$  for small tune difference,  $\nu_x=0.285$  &  $\nu_y=0.295$ .





KAM for various  $p_\theta$  for equal tune, .

$p_\theta = 1.2 \times 10^{-9}, 4.8 \times 10^{-9}, 2 \times 10^{-8}$ .

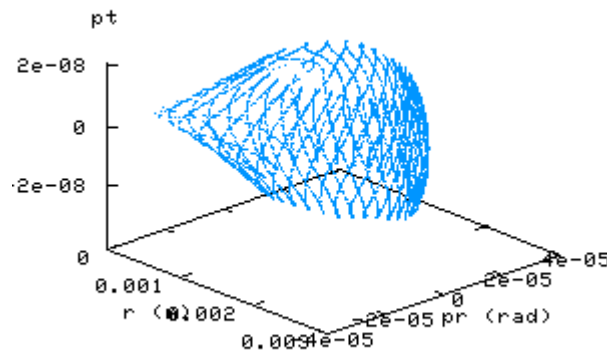
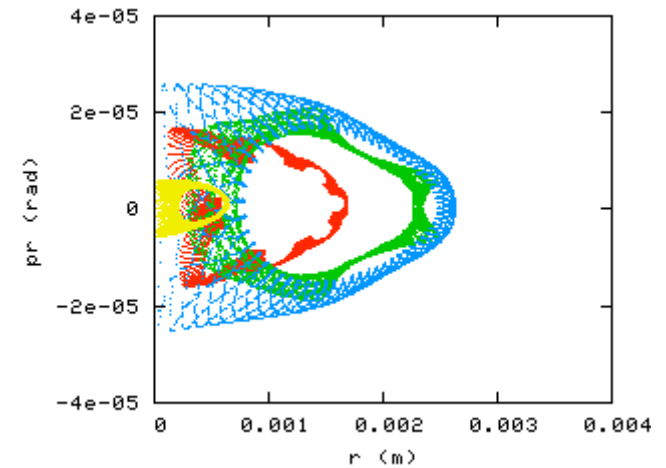
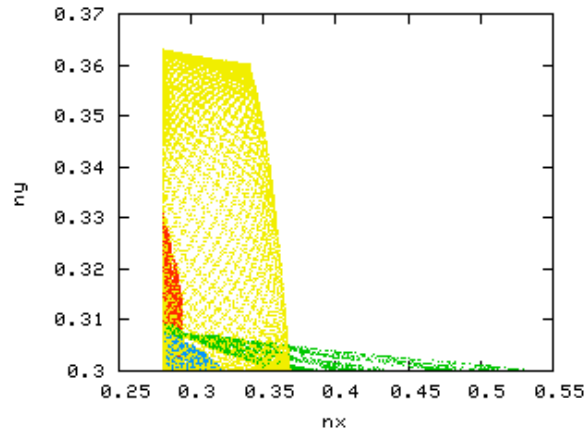


Note  $\sigma_r = 0.89$  mm



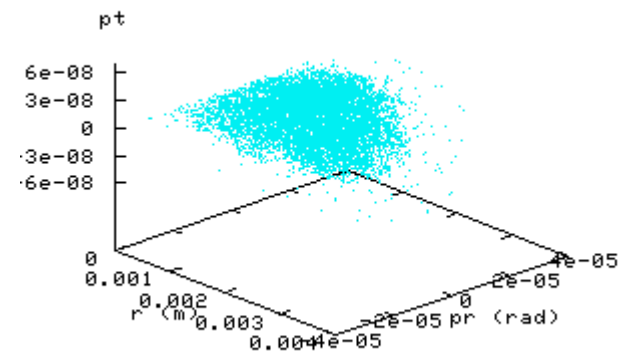
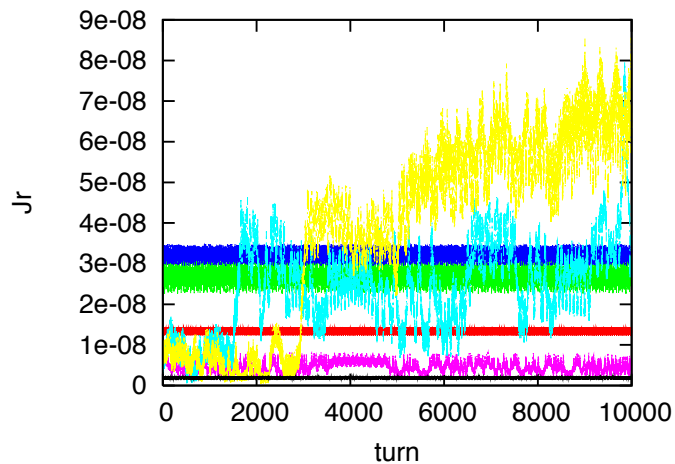
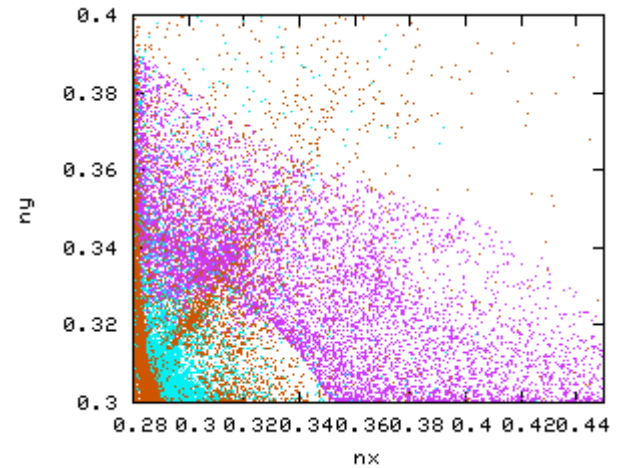
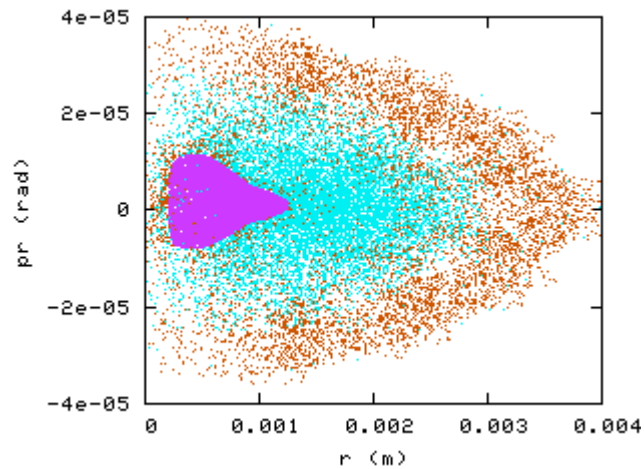
# Motion in the phase space and tune space – example I

- Near integrable trajectory---nondiffusive



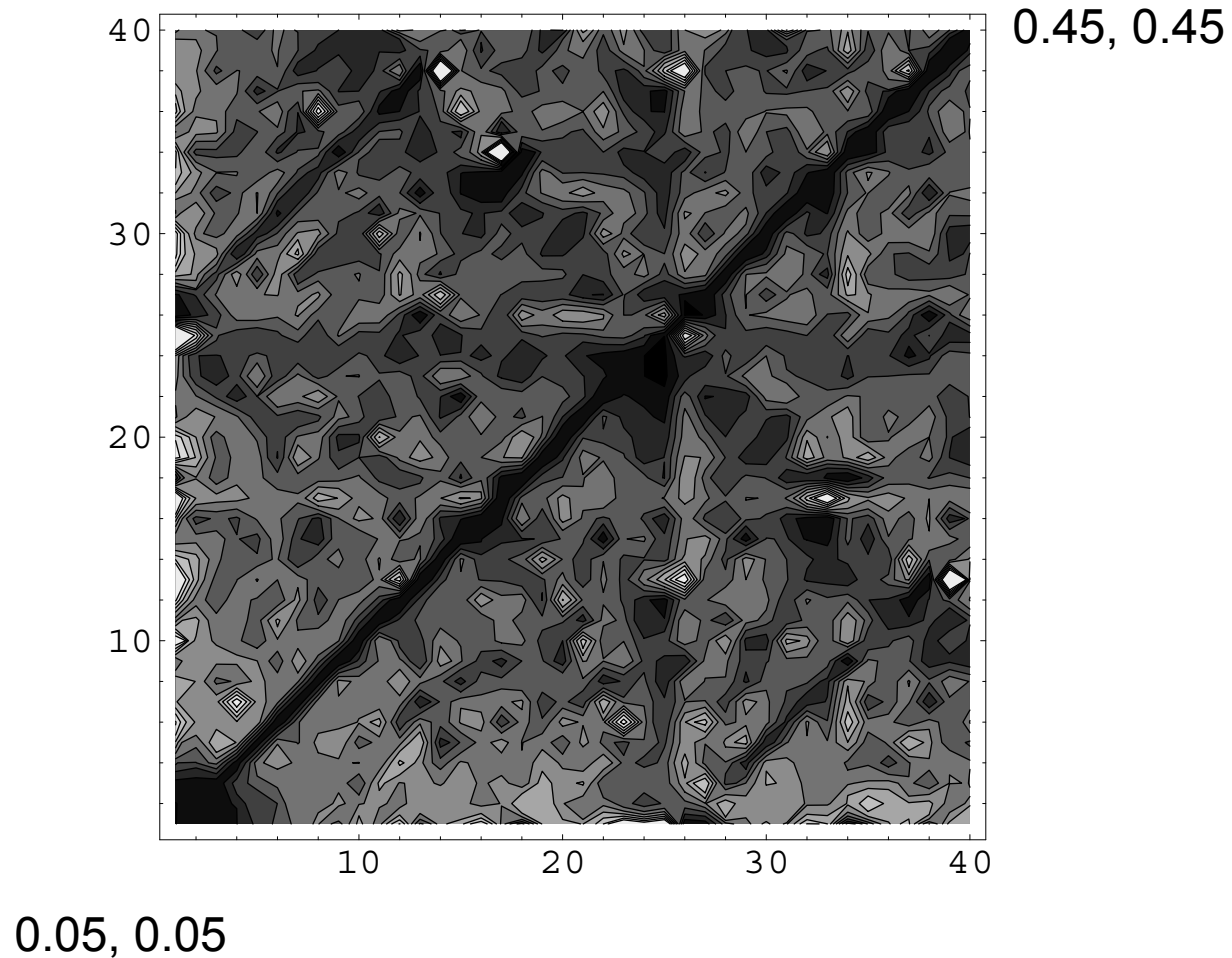
# Motion in the phase space and tune space - example II

- Chaotic trajectory --- diffusive



# Tune scan for 3 degrees of freedom

- Tune scan without synchrotron motion



- Pumping mechanism
- Resonance
- Separatrix crossing ( $\delta\nu \sim 0$ )

# More

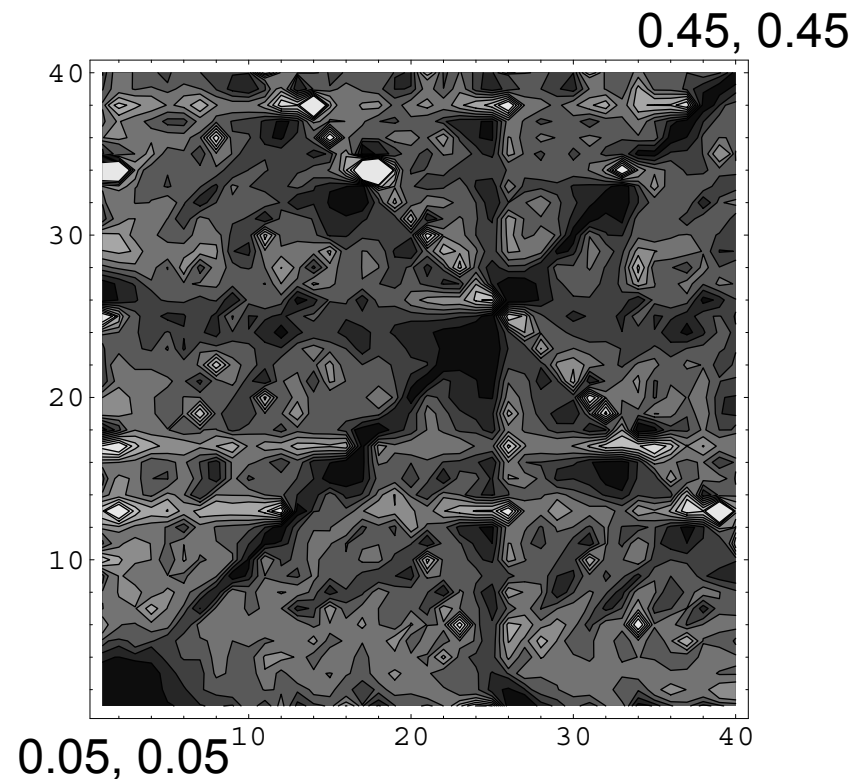
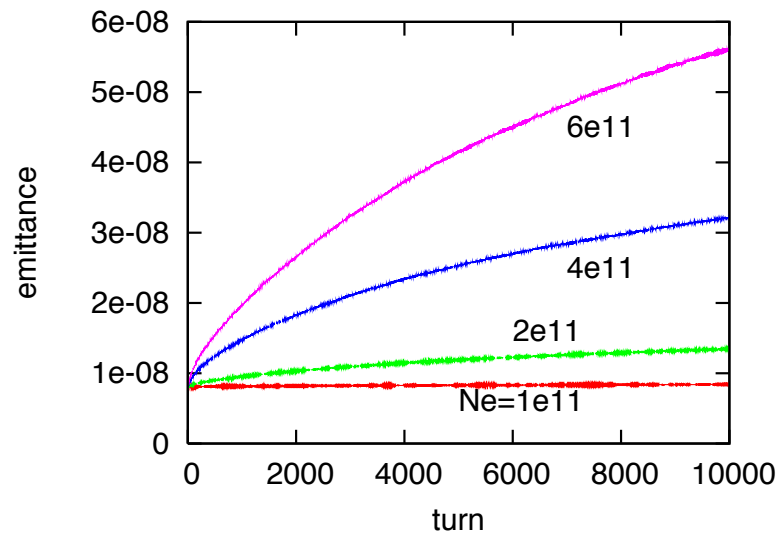
- 4 degrees of freedom – actual weak-strong model.

$$H_{pp} = \frac{1}{2} p_r^2 + \frac{1}{2} p_\theta^2 + \frac{1}{2} p_z^2 + \frac{1}{2} p_\phi^2 + \frac{1}{2} \beta \alpha \left( \frac{r}{r_p} \right)^2 - \cos 2\theta \left( \frac{r}{r_p} \right)^2$$

- Colliding beam –  $4 \times 2 \times N_{+,-}$  degrees of freedom
- 3 degree of freedom + synchrotron motion.  
Modulation diffusion, stochastic pumping with separatrix crossing.

# 4 degrees of freedom

- Tune scan with synchrotron motion,  $\nu_z = 0.006$ .
- Vertical emittance growth.
- Resonance,  $m \nu_y = n$  is seen.
- Emittance growth is large at cross points of resonances



# Importance of Lattice

- Nonlinearity of beam-cloud interaction
- Integrated the nonlinear terms with multiplying  $\beta$  function and  $\cos$  ( $\sin$ ) of phase difference

$$M = e^{-i\sum_{i=1}^n U_i} \dots e^{-i\sum_{i=1}^n U_i} \quad n$$

$$\approx e^{-i\sum_{i=1}^n U_i} \exp\left(i\sum_{i=1}^n U_i M U_i\right) \mathbf{x}$$

$$k_x k_y \rightarrow \Delta \beta \psi^{2/2} \cos() \quad 1 \quad \text{F: lattice transformation}$$

Nonlinear term should be evaluated with considering the beta function and phase of position where electron cloud exists.

Unphysical cancel of nonlinear term may be caused by simple increase of interaction point.

# Beam-beam limit

- 4 degree of freedom
- Interaction during collision. If  $\sigma_z \sim \beta_y$ ,  $\Delta\phi_\beta = 1$  rad, 4<sup>th</sup>-order term  $4\Delta\phi_\beta$ .

$$U(x,y,z) = -\frac{r_e}{\gamma} \int_0^\infty \frac{\exp\left(-\frac{x^2+y^2}{2\sigma_x^2+\eta u}\right)}{\sqrt{2\sigma_x^2+\eta u}} du$$

$$\exp(-F_1) = \exp\left(-\sum_{i=1}^n U_i M_i U_i\right) \mathbf{x}$$



$$H(x,y,z) = \dots \quad (,,,) )$$



# Integrability near half integer tune

Reduction of the degree of freedom.

- For  $\nu_x \sim 0.5$ , x-motion is integrable.

(work with E. Perevedentsev)

$$\lim_{\nu_x \rightarrow 0.5} D_{C_y} =$$

if zero-crossing angle and no error.

$$L \propto \frac{1}{\Delta\psi_x \text{ (crossing angle)} + (\text{coupling}) + (\text{fast noise})}$$

- Dynamic beta, and emittance

$$\lim_{\nu_x \rightarrow 0.5} \langle x^{22} \rangle < \sigma_{x,0}$$

$$\lim_{\nu_x \rightarrow 0.5} \langle p_x^2 \rangle = \infty$$

- Choice of optimum  $\nu_x$

# X- $p_x$ plot near half integer in $x$

- $\nu_x = 0.503, 0.510, 0.520, 0.540$

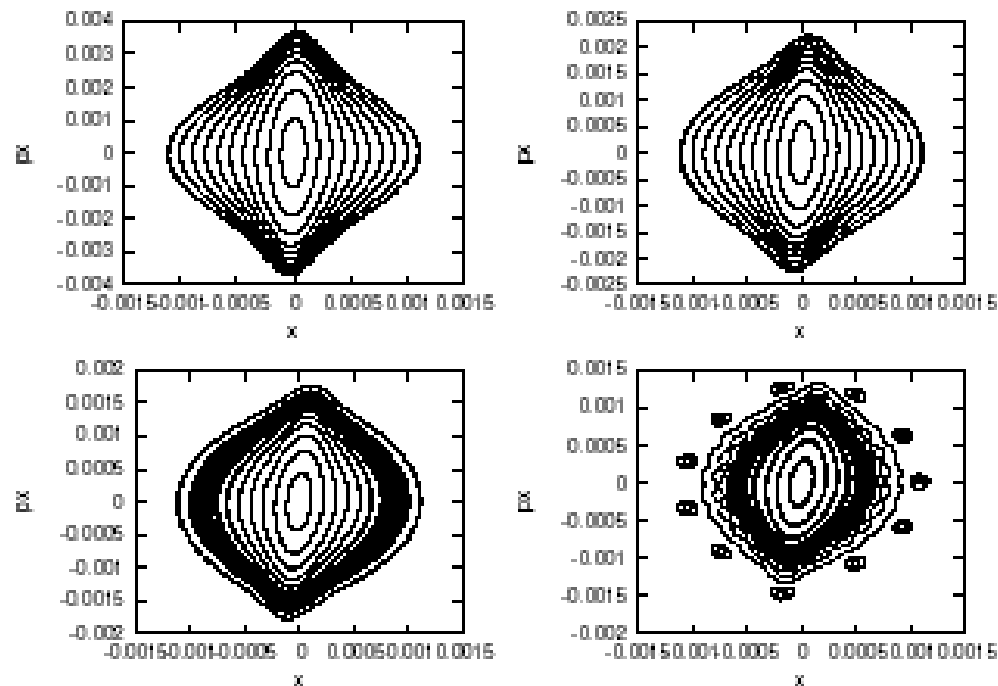


Figure 3: Phase space plot in  $x - p_x$ .  $y_0 = 2\mu \text{ m} \approx 3\sigma_y$ . plots (a), (b), (c) and (d) is given for  $\nu_x = 0.503, 0.51, 0.52$  and 0.54, respectively.

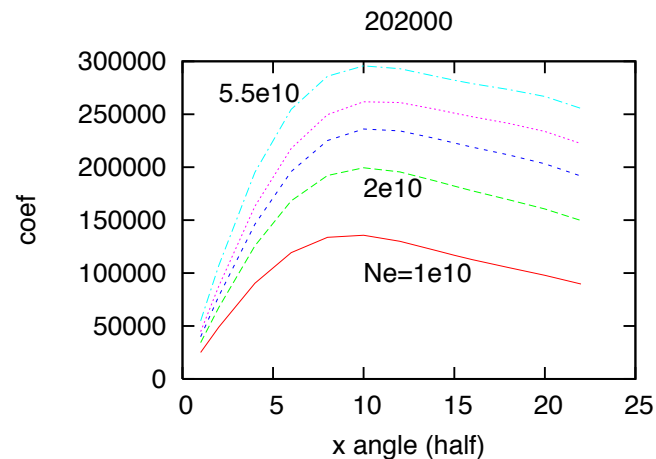
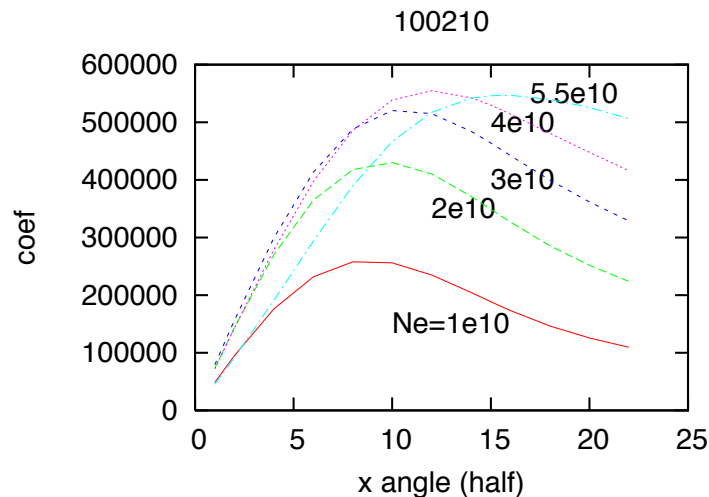
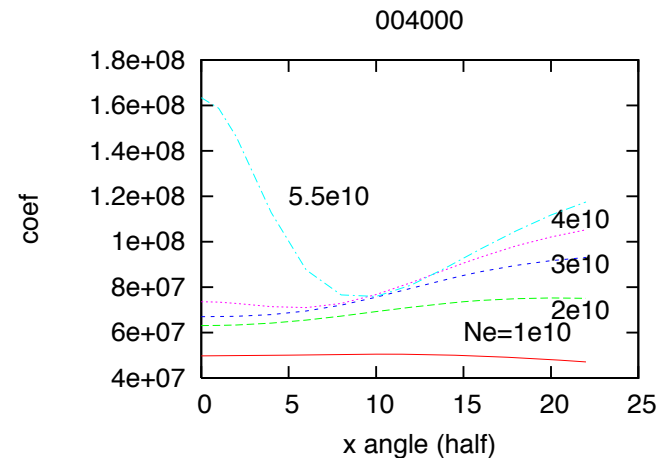
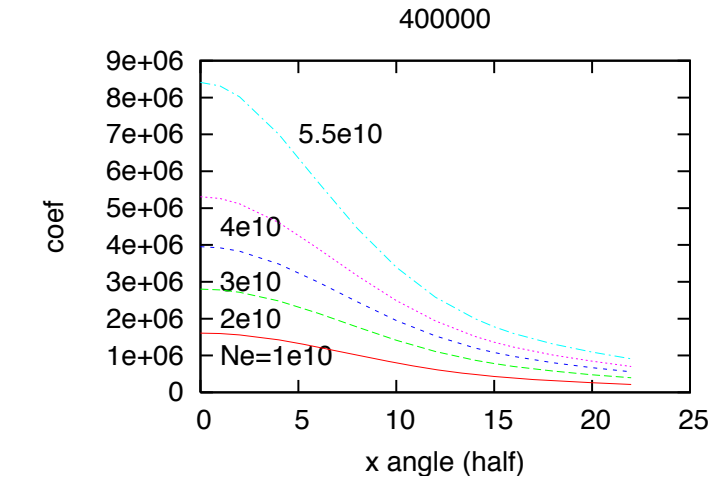
# Crossing angle

- Calculate  $U_T$  using Taylor map (Diff. algebra)
- Taylor coefficient  $\sim$  Fourier coefficient,  $U_{klm}$ .

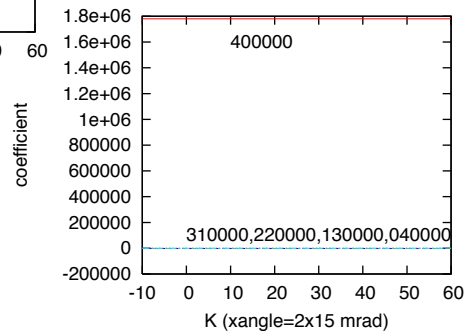
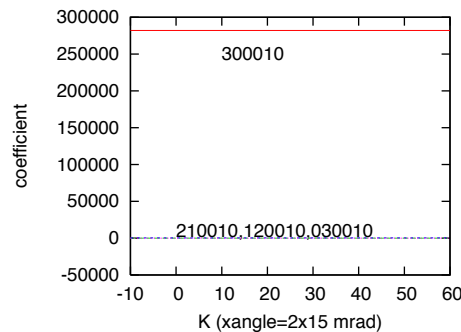
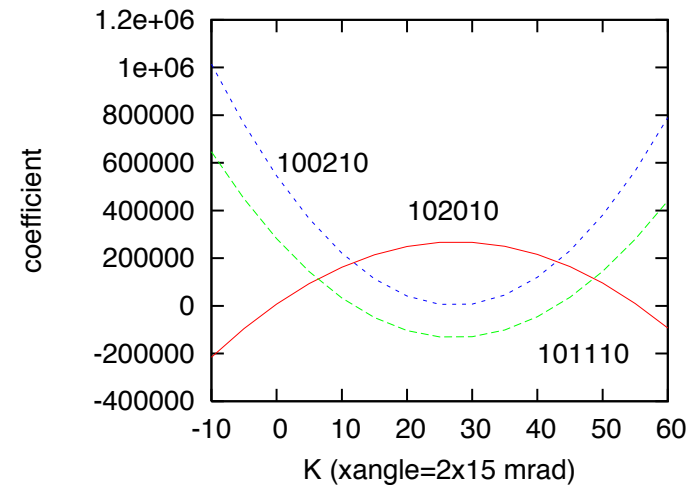
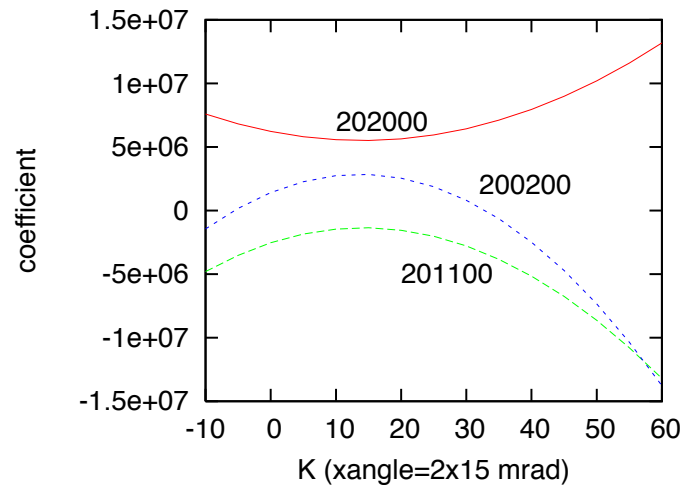
$$U_{klm} = \sum_{klm=0}^{\infty} \cos(\psi) \psi^k \psi^l \psi^m$$

# 4-th order Coefficients due to crossing angle

- Short bunch  $\sigma_z=3\text{mm}$ ,  $\sigma_x/\phi\sigma_z=1$  at  $2\times 15$  mrad, original super KEKB.



# 4-th order Coefficients as a function of crab sextupole strength, short bunch $\sigma_z=3\text{mm}$ , $\sigma_x/\phi\sigma_z=1$



- $H=K \times p_y^2/2$ , theoretical optimum,  $K=1/\text{xangle}$ .
- Clear structure- 220,121
- Flat for sextupole strength- 400, 301, 040

# Crab crossing and crab waist

- Crossing angle induces synchro-beta and odd coupling resonance terms.
- Merit of Crab crossing is the absence of the terms.
- Crab waist reduces the odd coupling resonance term, but keeps the synchro-beta term.
- In the both method, Luminosity performance is improved.

# Space charge limit

- Similar as electron cloud, integrate the nonlinear interaction along the ring.
- $U_T$ : Gaussian?

$$\exp(-iF_1) \exp(i \sum_{i=1}^n U_{e_i} M U_i) \mathbf{x}$$

$$H J \neq J_s U \Delta \sigma \nu p z \quad (,,,) )$$

# Summary

Keyword of SAD

Dynamic aperture



Emittance